

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| In re Patent Application of |) | |
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| Rolf M. FLÜGEL <i>et al.</i> |) | Group Art Unit: UNASSIGNED |
| |) | |
| Application No.: 09/868,502 |) | Examiner: UNASSIGNED |
| (Corresponds to PCT/DE99/04052) |) | |
| |) | |
| International Filing Date: December 17, 1999 |) | |
| |) | |
| For: FOAMY VIRUS VECTORS FOR |) | |
| EXPRESSING FOREIGN GENES IN |) | |
| MAMMALS AND THE USE THEREOF |) | |

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the references listed on the attached Form PTO-1449 in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: _____

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Date: November 6, 2001

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

ATTORNEY'S DKT NO.
012627-022APPLICATION NO.
09/868,502APPLICANT
Rolf M. FLUGEL et al.INTERNATIONAL FILING DATE
17 December 1999GROUP
UNASSIGNED

U.S. PATENT DOCUMENTS

| Examiner Initials | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication (MM-DD-YYYY) |
|----------------------|----------------------|-------------------------|--|-------------------------------------|
| | Number | Kind Code (if known) | | |
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FOREIGN PATENT DOCUMENTS

| Examiner Initials | Foreign Patent Document | | Country | Date of Publication (MM-DD-YYYY) | Translation | |
|----------------------|-------------------------|-------------------------|---------|-------------------------------------|-------------|----|
| | Number | Kind Code (if known) | | | Yes | no |
| | WO 91/08216 | | PCT | 06/13/1991 | | |
| | WO 98/35024 | | PCT | 08/13/1998 | | |
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NON PATENT LITERATURE DOCUMENTS

| Examiner Initials | Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
|-----------------------|---|
| | W. French Anderson, "Human Genome Therapy" <i>Nature</i> , Vol. 392, April 30, 1998, pages 25-30 |
| | Andrea J. Twigg, "Trans-complementable copy-number mutants of plasmid ColE1," <i>Nature</i> , Vol. 283, January 10, 1980, pages 216-218 |
| | John H. Elder et al., "Workshop Summary: Lessons from the Cat: Feline Immunodeficiency Virus as a Tool to Develop Intervention Strategies against Human Immunodeficiency Virus Type 1", <i>Aids Research and Human Retroviruses</i> , Vol. 14, No. 9, 1998, pages 797-801 |
| | R.L.P. Flower et al., "Detection and Prevalence of Serotypes of Feline Syncytial Spumaviruses", <i>Archives of Virology</i> , Vol. 83, 1985, pages 53-63 |
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| | |
| Examiner Signature | Date Considered |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.